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Topology Optimization with Isogeometric Analysis in a Phase Field Approach

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Design processes often involve or require some optimization of the geometry (CAD).



Shape Optimization:

find the optimal shape of a body;

Topology Optimization:

find the optimal distribution of the material in a domain or component.

Current **Engineering procedures** based on the **Finite Element Analysis** do <u>not</u> allow a straightforward use of optimization tools.

This is principally due to the CAD geometry-mesh mapping.



Example: Shape Optimization

find $\partial \Omega$ s.t. $J(u; \partial \Omega)$ is miminum, with: $L(u)u = f \text{ in } \Omega \& BCs.$

[Jamenson, Mohammadi, Pironneau, Sokolowski, Svanberg, Zolesio, ...]

Difficulties:

- nonlinearities/differentiation/optimization tech.
- re-meshing
- geometrical information



Difficulties in geometry optimization are inherited by drawbacks in Finite Element Analysis:

- Computational geometry and analysis are separate fields
 - Finite Element Method (FEM), started in 1950's
 - Computer Aided Design (CAD) and Computational Geometry (CG), started in 1970's
- Geometry is a foundation of CAD
- Geometry is a foundation of computational analysis
- CAD and FEM use different representations of geometry
- Mesh generation is a *bottleneck* in Design through Analysis.

Encapsulate the exact CAD geometry in:

- Analysis
- Design
- Optimization:
 - Shape Optimization
 - Topology Optimization



[Hughes, Cottrell, Bazilevs, 2005]

Isogeometric Analysis

- Analysis framework built on the primitives (basis functions) of CAD and Computational Geometry
 - Original instantiation based on Non-Uniform Rational B-Splines (NURBS)
 - Framework extended to more advanced discretizations (e.g., **T-splines**, Subdivision)
- Generalizes and improves on Finite Element Analysis
 - Encapsulates "exact geometry" and its parameterization at the coarsest level of discretization
 - Allows for *smooth* basis functions
 - Allows for *h*-, *p* and *k*-refinement
 - Geometry and its parameterization unchanged during refinement

Objects of B-spline geometry

Linear combination of the spline basis in $\hat{\Omega}=(0,1)^{\alpha} \alpha=1,\ldots,d$ and objects in \mathbb{R}^{d}

Cannot represent conic sections (i.e. circles, ellipses) exactly. Need NURBS.

Univariate (1-D) splines

Knot vector on $\hat{\Omega}$ and *p-order* n = number of $\Xi = \left\{ \xi_1, \xi_2, \xi_3, ..., \xi_{n+p+1} \right\}$ basis functions Knots ξ_i with multiplicity m_i *B-spline basis* on $\hat{\Omega}$ by recursion: $N_{i,0}(\xi) = \begin{cases} 1 & \text{if } \xi_i \leq \xi < \xi_{i+1}, \\ 0 & \text{otherwise} \end{cases}$ Start with piece-wise constants $N_{i,p}(\xi) = \frac{\xi - \xi_i}{\xi_{i+n} - \xi_i} N_{i,p-1}(\xi) +$ Bootstrap recursively to p $\frac{\xi_{i+p+1} - \xi}{\xi_{i+p+1} - \xi_{i+1}} N_{i+1,p-1}(\xi)$

Univariate (1-D) splines



Objects of NURBS geometry

Linear combination of the spline basis in $\hat{\Omega} = (0,1)^{\alpha} \alpha = 1,...,d$ and objects in \mathbb{R}^{d+1} projected back into \mathbb{R}^{d} by a *projective transformation* :



Objects of NURBS geometry









NURBS approximation space over physical domain

$$u_h = \hat{u}_h \circ \mathbf{F}^{-1}$$
 $\hat{u}_h(\xi) = \sum_{i=1}^n u_i R_i(\xi)$ in $\hat{\Omega}$









Topology Optimization

Applications:

- Beams/Trusses/Bridges [Bendsoe, Kikuchi, Sigmund, ...]
- Aeronautical structures [Bendsoe]
- Crashworthiness design [Pedersen]
- MEMS devices / Piezoelectric micro-tools [Buhl, Carbonari, Sigmund, Silva, Paulino,...]
- Dynamical systems [Jensen]
- Acoustics / Photonic / Thermal / Fluid problems [Bendsoe, Gersborg-Hansen, Jensen, Sigmund, ...]

2D/3D problems

Single or multi-material

Topology Optimization

[Bendsoe, Kikuchi, Sigmund, Stolpe, Svanberg, ...]



 Γ_D

Minimum Compliance

[Bendsoe, Kikuchi, Sigmund, Pedersen]

Find the optimal distribution of ρ in the domain Ω in order to <u>minimize</u> the <u>compliance</u> $J_E(\rho)$ of the system under a <u>volume constraint</u> V (equality or inequality)

$$\begin{aligned} J_{E}(\rho) &= \int_{\Omega} f \cdot u(\rho) + \oint_{\Gamma_{N}} t \cdot u(\rho) \\ \int_{\Omega} \rho \leq V < |\Omega| \end{aligned}$$

Alternative criterion: minimize weight of the structure under stress constraints

SIMP Approach

• $\rho = \{0,1\} \rightarrow 0 \le \rho \le 1$

(with inequality constraints)

Solid Isotropic Materal with Penalization (SIMP)

$$E(\rho) = \rho^P E_0, \quad P \ge 3$$

(Young modulus depends on density)

- Finite Element approximation; Low Order ρ piecewise constant over mesh elements
- Constrained Optimization procedure;
 e.g.: MMA (Method of Moving Asymptotes), [Svanberg]





SIMP Approach

Advantages:

- simplicity & flexibility
- low number of DOFs

Drawbacks:

- instabilities & check-board phenomenon
 - → Required: regularization techniques, filters, sensitivity filters, perimeter limitation
- high number of inequality constraints
- non-convex optimization
- ability to provide geometric information
- manufacturability integration with CAD





[Bourdin, Burger, Chambolle, Stainko, Wang, Zhou]

 $\rho = \{0,1\} \in L^{\infty}(\Omega) \quad \to \quad \rho \in H^{1}(\Omega) \cap L^{\infty}(\Omega)$

Sharp interfaces approximated by thin layers



Finite Element approximation: order ≥ 1

Advantages:

- sharp & smooth interfaces
- geometrical information
- filtering and perimeter limitation embedded in $J_{\rm INT}(
 ho)$
- possibility to remove inequality constraints $0 \le \rho \le 1$ by choosing $J_{\rm BLK}(\rho)$

Drawbacks:

- non-convex optimization
 - \rightarrow strong dependence on the optimization solver used
- dependence on parameters

Continuation method

- Quasi-Newton optimization method
- Continuation method (progressive reduction of $\mathcal{E} = \chi^L \mathcal{E}_0$); the final solution is obtained as a sequence of optimal states for increasing values of L

$$J(\rho) = J_{E}(\rho) + \frac{1}{\varepsilon} J_{BLK}(\rho) + \varepsilon J_{INT}(\rho)$$

 <u>Isogeometric Analysis</u>, <u>order 3</u> Linear Elasticity, Plane Stress $E_0 = 1, \quad v = 0.3, \quad f = 0, \quad t = -0.5\hat{y}$ $\Omega = (0,2) \times (0,1), \quad \frac{\int_{\Omega} \rho}{|\Omega|} \le 0.35$ $E(\rho) = \rho^P E_0, \quad P = 5$ $\varepsilon_0 = 1.5, \quad \chi = 0.25$ # d.o.f. = 3960 (*IsoG*.)



Topology Optimization & Cahn-Hilliard Equation

The Multiphase approach in Topology Optimization shows analogies with Multiphase problems, in particular with the:

Cahn-Hilliard equation (1957)

which describe the transition of two phases from a mixed status to a fully separated configuration.

 \rightarrow The CH eq. is a 4th order nonlinear parabolic PDE

$$\begin{cases} \frac{\partial \rho}{\partial t} = \nabla \cdot \left(M(\rho) \nabla \mu_{CH}(\rho) \right) & \text{ in } \Omega \times (0,T) \\ \nabla \rho \cdot n = 0 & \text{ on } \partial \Omega \times (0,T) \\ M(\rho) \nabla \mu_{CH}(\rho) \cdot n = 0 & \text{ on } \partial \Omega \times (0,T) \\ \rho = \rho_0 & \text{ in } \Omega \times \{t = 0\} \end{cases} \left(\begin{array}{c} \text{ or periodic} \\ \text{ conditions} \end{array} \right)$$

ρ

$$J_{CH}(\rho) = \int_{\Omega} F(\rho) d\Omega + \frac{1}{2} \lambda \int_{\Omega} \nabla \rho \cdot \nabla \rho \, d\Omega \quad \lambda > 0 \qquad F(\rho)$$

$$\mu_{CH}(\rho) : < \mu_{CH}(\rho), \varphi \ge \frac{dJ_{CH}}{d\rho}(\rho)[\varphi] \quad \forall \varphi \text{ test function}$$

$$\mu_{CH}(\rho) = \frac{dF}{d\rho}(\rho) - \lambda \Delta \rho$$

$$V = \int_{\Omega} \rho_0 \, d\Omega = \int_{\Omega} \rho(t) \, d\Omega \quad \forall t \in (0,T) \qquad 0$$

$$M(\rho) = D\rho(1-\rho) \quad \text{mobility}$$

Time approximation:

- generalized α-method (fully implicit, second order accurate)
- adaptive time-scheme (based on comparison of Backward Euler and α-method)

Spatial approximation:

• IsoGeometric Analysis, order ≥ 2

$$\lambda = \tau h^2$$

Interface thickness Depending on resolution

F(
$$\rho$$
) logarithmic, $\theta = 1.5$, $M = D\rho(1-\rho)$, $D = 1$,
Initial random distribution: *Volume* ± 0.50 %
IGA: order $p,q = 2$, Gauss pt.s = 3, $h = L_0 / 45$

[Gomez, Calo, Bazilevs, Hughes, 2007]

Volume = 0.50, <u>Periodic BCs</u>



-6 10

-8 10 10-4

t

-2 10

0 10

2

10

-8 10

-10 10

- 10 10





Volume = 0.50



Volume = 0.37



Generalized Cahn-Hilliard equations

$$J(\rho) = J_{CH}(\rho) + \gamma J_E(u(\rho))$$

$$J_{CH}(\rho) = \int_{\Omega} F(\rho) d\Omega + \frac{1}{2} \lambda \int_{\Omega} \nabla \rho \cdot \nabla \rho \, d\Omega \quad \lambda > 0 \qquad J_{E}(u(\rho)) = \oint_{\Gamma_{N}} t \cdot u(\rho) \, d\Gamma_{N}(\rho) \, d\Gamma_{N}(\rho$$

$$\begin{split} \mu(\rho, u(\rho)) &= \mu(\rho) : < \mu(\rho), \phi >= \frac{dJ}{d\rho}(\rho)[\phi] \quad \forall \phi \text{ test function} \\ \mu(\rho) &= \mu_{CH}(\rho) + \mu_{E}(\rho, u(\rho)) = \frac{dF}{d\rho}(\rho) - \lambda \Delta \rho - \gamma P \rho^{P-1} \tilde{\sigma}(u(\rho)) : \varepsilon(u(\rho)) \\ \sigma(\rho, u) &= \rho^{P} \tilde{\sigma}(u) \\ V &= \int_{\Omega} \rho_{0} d\Omega \equiv \int_{\Omega} \rho(t) d\Omega \quad \forall t \in (0, T) \\ M(\rho) &= D\rho(1-\rho) \quad \text{mobility} \end{split}$$

Generalized Cahn-Hilliard equations

$$\begin{cases} \frac{\partial \rho}{\partial t} = \nabla \cdot \left(M(\rho) \nabla \mu(\rho, u) \right) & \text{ in } \Omega \times (0, T) \\ \nabla \rho \cdot n = 0 & \text{ on } \partial \Omega \times (0, T) \\ M(\rho) \nabla \mu(\rho, u) \cdot n = 0 & \text{ on } \partial \Omega \times (0, T) \\ \rho = \rho_0 & \text{ in } \Omega \times \{t = 0\} \end{cases}$$

÷

$\int -div \sigma($	$(\rho, u) = f$	in $\Omega \times (0,T)$
$\int u = 0$		on $\Gamma_D \times (0,T)$
$\int \sigma(\rho,u)$	$) \cdot n = t$	on $\Gamma_N \times (0,T)$
$\left[\sigma(\rho,u)\right]$	$(\cdot, n) = 0$	on $\partial \Omega \setminus (\Gamma_D \cup \Gamma_N) \times (0,T)$

Generalized Cahn-Hilliard equations

Mass/<u>volume conservative</u> → topology optimization is volume constrained

$$\int_{\Omega} \rho = \int_{\Omega} \rho_0 = V \quad \forall t \ge 0$$

• The cost functional $J(\rho)$ corresponds to the <u>energy</u> of the generalized CH eqs. and it is a <u>Liapunov functional</u>

$$\frac{dJ}{dt}(\rho) = -\int_{\Omega} M(\rho) \left| \nabla \mu(\rho) \right|^2 \le 0 \quad \forall t \ge 0$$

 The <u>steady state</u> of the generalized CH eqs. corresponds to the <u>minimum</u> of the energy and hence to the minimum of the cost functional

Generalized Cahn-Hilliard equations

Advantages:

- optimal topology is obtained as steady state of CH eqs.
- no optimization procedure
- provide geometrical information
- no use of "filtering" techniques

Drawbacks:

- set of 4th order nonlinear parabolic PDEs
- ability to capture sharp interfaces \rightarrow resolution
- computational expensive

[Wang, Zhou, 2006-2007]: topology optimization with CH eq. Low order FE approximation, multigrid method, fixed time step



- $C^{q}(\Omega), q \ge 1$, continuous basis
- adaptive time stepping method + implicit solver, α -method
- accurate and stable results capturing thin layers
- NO geometrical approximation of CAD geometries
- perform topology opt. in regions and components of existing structures

The choice of the parameters λ and γ

$$J(\rho) = J_{CH}(\rho) + \gamma J_E(u(\rho))$$

$$J_{CH}(\rho) = \int_{\Omega} F(\rho) d\Omega + \frac{1}{2} \lambda \int_{\Omega} \nabla \rho \cdot \nabla \rho \, d\Omega \quad \lambda > 0 \quad J_{E}(u(\rho)) = \oint_{\Gamma_{N}} t \cdot u(\rho) \, d\Gamma_{N}$$

$$\lambda = \widetilde{\lambda} h^2, \qquad \gamma = \widetilde{\gamma} \gamma_E$$

 To balance the compliance and the CH parts of the energy, we choose:

$$\gamma_E = J_{CH}(\rho_0) / J_E(\rho_0)$$

 $\tilde{\lambda}, \tilde{\gamma} =$ dimensionless, chosen by user (depend on each other, load case, volume fraction, penalization P, ...)



 $\Omega = (0, 2.0m) \times (0, 1.0m), \quad V = 0.50 |\Omega|, \quad \rho_0 = 0.5$ plane stress, $E_0 = 200GPa, \quad v = 0.3, \quad |t| = 200MPa$ P = 5, Gauss points: 5×5

Order p=q=2, # DOF = 840

$$\tilde{\lambda} = 2.5$$
 $\tilde{\gamma} = 5.0$









Energy vs. time (dimensionless)













dt vs. time







Shape Optimization

• The NURBS map turns the <u>infinite dimensional</u> problem: $\min_{\partial \Omega} J(\partial \Omega)$ s.t. $g_i(\partial \Omega) \ge 0$ $i = 1, 2, ..., n_{ineq}$ $\partial \Omega$

$$h_j(\partial \Omega) = 0$$
 $j = 1, 2, ..., n_{eq}$

state eq. with b.c.'s

into a finite dimensional one:

$$\begin{split} \min_{\mathbf{P}_{i,j}} J(\partial \Omega(\mathbf{P}_{i,j})) \\ \text{s.t. } g_i(\partial \Omega(\mathbf{P}_{i,j})) \geq 0 \quad i = 1, 2, ..., n_{ineq} \\ h_j(\partial \Omega(\mathbf{P}_{i,j})) = 0 \quad j = 1, 2, ..., n_{eq} \\ \text{state eq. with b.c.'s} \end{split}$$



$$\Omega = \Omega(\mathbf{P}_{i,j}) = \sum_{i,j} \mathbf{P}_{i,j} R_{i,j}$$



Isogeometric Analysis 📫 Exact CAD geometries

Shape Optimization



Topology optimization

NURBS geometry (sweeping technique, J.Zhang)



Conclusions & Future Developments

- We developed a pipeline for geometry optimization with Isogeometric Analysis encapsulating exact CAD geometries.
- We solved topology optimization problems in a phase field approach based on the generalized Cahn-Hilliard equations

- Improve resolution for 2D problems (sharp interfaces)
- 3D problems